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Dated: \_\_\_\_\_

Docket No.: 03153/100G638-US4  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Hou Pu Chou, et al.

Application No.: Not Yet Assigned

Art Unit: 1637

Filed: Concurrently Herewith

Examiner: Jeffrey Siew

For: INTEGRATED ACTIVE FLUX  
MICROFLUDIC DEVICES AND METHODS

**PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115**

MS Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**Introductory Comments** begin on page 2 of this document.

**Amendments to the Specification** begin on page 3 of this document.

**Remarks** begin on page 4 of this document.

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## INTRODUCTORY COMMENTS

In accordance with Rule 115 of the Rules of Practice, please enter the following amendments and consider the accompanying remarks before examining this application on the merits. This application is a continuation of U.S. patent application Serial No. 09/875,438 filed on June 5, 2001 (hereinafter referred to as “the ‘438 application”). The ‘438 application is, in turn, a continuation-in-part of U.S. patent application Serial No. 09/724,548 filed November 28, 2000 (hereinafter referred to as “the ‘548 application”). The ‘548 application claims priority under 35 U.S.C. § 119(e) to copending U.S. provisional patent serial nos. 60/209,243; 60/211,309; and 60/249,360 filed on June 5, 200; June 13, 2000; and November 16, 2000, respectively. Accordingly, the following documents are also submitted herewith:

- (1) a Utility Patent Application Transmittal;
- (2) a true copy of the '438 application as originally filed;
- (3) a true copy of the executed Declaration and Power of Attorney for Patent Application that was originally submitted for the '438 application;
- (4) an Information Disclosure Statement, including Form PTO/SB/08a/b ("Substitute to Form 1149A/PTO");
- (5) a copy of the Drawing Figures submitted on June 5, 2001 for the '438 application;
- (6) a true copy of the Notice of Recordation of Assignment Documents dated on November 1, 2001 for the '438 application.
- (7) a Application Data Sheet and
- (8) a Fee Transmittal, accompanied by the appropriate filing fees.

It is believed that no fee is due for this amendment. However, should the U.S. Patent and Trademark Office determine that a fee is due or that a refund is owed for this divisional application, the Commissioner is hereby authorized and requested to charge any required fee(s) and/or credit any refund(s) owed to our Deposit Account No. 04-0100.

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